JC04 Rec'd PCT/PT0 14 JUL 2005

PTO-1390 (Rev. 02-2005)
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TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER 7217/71735 DESIGNATED/ELECTED OFFICE (DO/EO/US)

see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE February 4, 2004 PRIORITY DATE CLAIMED February 12, 2004 PCT/JP2004/001094 February TITLE OF INVENTION RECORDING AND REPRODUCING METHOD AND RECORDING AND REPRODUCING DEVICE FOR DATA APPLICANT(S) FOR DO/EO/US Yasuharu Yamauchi Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 3. The US has been elected (Article 31). 5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. X is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. L A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. 🔲 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Figs.

Sheets

20. X

Other items or information: Formal Drawings

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U.S. APPLICATION NO 54 kpo 2 see 37 Car 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/JP2004/001094 7217/71735 CALCULATIONS PTO USE ONLY The following fees have been submitted 21. X Basic national fee.....\$300 300 Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of 200 PCT Article 33(1)-(4).....\$100 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100 International Search Report prepared and provided to the Office.......\$400 s 400 \$ 900 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction RATE **Total Sheets** Extra Sheets thereof (round up to a whole number) 2.5100 =/50 = x \$250 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(h)). RATE \$ NUMBER EXTRA NUMBER FILED CLAIMS 19 x \$50 \$ - 20 = Total claims \$1,200 - 3 = 6 \$200 Independent claims + \$360 \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) s 2100 TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$ 2100 SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$2100 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property 40 s 2140 TOTAL FEES ENCLOSED = Amount to be \$ refunded: Amount to be charged: a. X 2,140.00 A check in the amount of \$ to cover the above fees is enclosed. _ to cover the above fees. Please charge my Deposit Account No. in the amount of \$ _ A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. $\underline{03-3125}$. A duplicate copy of this sheet is enclosed. c. [X Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Customer Number-23432 SIGNATURE Jay H. Maioli, Esq. Cooper & Dunham LLP 1185 Avenue of the Americas New York, NY 10036 Jay H. Maioli NAME 27,213 (212)278-0400 (212)391-0525 Tel: REGISTRATION NUMBER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

"Express Mail" Mailing Label No. EV325702759US

Date of Deposit: July 14, 2005

I hereby certify that this Application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to the Commissioner for Patents, Mail Stop PCT, P.O. Box 1450, Alexandria, V.A. 22313-1450.

Applicant: Yasuharu Yamauchi (7217) 71735

Title: RECORDING AND REPRODUCING METHOD AND RECORDING AND REPRODUCING DEVICE FOR

DATA

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